

1 Title: Variations of permafrost under freezing and thawing conditions in the coastal catchment
2 Fuglebekken (Hornsund, Spitsbergen, Svalbard)

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9 Abstract

10 Two seismic field surveys were organized in the Fuglebekken coastal catchment of Hornsund,
11 Spitsbergen, Svalbard, to map frozen and unfrozen ground and assess the spatial and temporal state
12 of the permafrost. Surveys were conducted during maximum thawing in September and maximum
13 freezing in April of the following year. The obtained seismic wavefields were interpreted using three
14 methods: the surface waves dispersion, seismic refraction, and travelttime tomography. The seismic
15 experiments were supported by nearby boreholes with continuous thermal monitoring. In the frozen
16 survey, a gradual increase in ice content of water-filled sediments was found, further from the coast.
17 In September the shallow sensors in the boreholes validated positive ground temperatures down to
18 3.0 m depth, with below zero temperatures at greater depths. However, the seismic tomography
19 indicated that the ground was unfrozen down to 30 meters. The ground probably remained unfrozen
20 due to intrusion of high salinity seawater, even though it had been below 0°C. In April, in the area 300
21 m and farther from the coast, the ground below 3 m depth was frozen, except for a 19 m deep open
22 talik identified in a borehole at the slope of Fugle Mountain. We attribute the complex spatial extent,
23 form, and condition of permafrost in the Fuglebekken coastal catchment to multiple factors, including

24 variable solar energy, snow and ground cover, thermal and humidity properties of the soil, subsurface
25 water flow, and seawater intrusion. The presented combination of seismic methods provides a new
26 robust and precise approach to access spatial variability of permafrost in a coastal environment. The
27 proposed interpretation show deep percolation of subsurface flow into permafrost and it's seasonal
28 unfreezing at a depth of 30 m in both saltwater intruded zone and the slope area.

29 1. Introduction

30 Permafrost plays an important role in high latitude and altitude ecosystems, underlying 25% of the
31 terrestrial parts of Earth (Christiansen et al. 2021). The thermal state and changes of permafrost is a
32 crucial indicator of environmental changes occurring in the Arctic. In Svalbard permafrost underlies
33 almost all land areas that are not covered by glaciers. Changes in climatic variables, especially the air
34 temperature, snow depth, and the increased duration of the period with positive air temperature have
35 resulted in an increase of permafrost temperature and deepening of the active layer (Christiansen et
36 al. 2021).

37 In SW Spitsbergen, Svalbard, the changes in air temperature observed at the Hornsund meteorological
38 site over the last four decades (1979–2018) are more than six times higher than the global average
39 (Wawrzyniak and Osuch 2020). Degradation of permafrost results in a change in hydrogeological and
40 geotechnical properties of the ground, hydrological and biogeochemical processes, landforms, and
41 other components of the ecosystems. The thickness of the active layer, that increased globally from
42 0.2 to 1.0 m in the last four decades, is expected to increase, especially in the Arctic due to the polar
43 amplification (IPCC 2019).

44 Ground thermal conditions in the vicinity of Ny-Ålesund, Longyearbyen, Barentsburg, Kapp Linné, and
45 Hornsund were described by Christiansen et al. (2019a, 2020), in Petuniabukta by Rachlewicz and
46 Szczuciński (2008), in Bellsund by Marsz et al. (2013), and in Kaffiøyra Plain by Sobota and Nowak
47 (2014). Lowland permafrost is at temperatures close to 0°C making it very sensitive to climatic change.

48 Moreover, the warmest permafrost in Svalbard has been found in Hornsund. Temperatures observed
49 at a depth of 12 m are only $-1.1\text{ }^{\circ}\text{C}$ on average (Christiansen et al. 2019b, 2020). Unfortunately, in situ
50 point monitoring of the ground thermal state is insufficient to evaluate the spatial variability of
51 permafrost thickness. Spatial heterogeneity of the thaw depth is influenced by the local solar and
52 atmospheric conditions, ground surface albedo, exposure, thermal and humidity properties of the soil,
53 thickness and duration of snow cover and vegetation, and the depth of permafrost itself (Christiansen
54 et al. 2020).

55 Geophysical measurements, apart from thermal monitoring, cannot measure the temperature of the
56 subsurface directly, but they can indirectly detect changes in physical parameters and properties of
57 the ground. Laboratory analysis shows that at temperatures exceeding $0\text{ }^{\circ}\text{C}$ the rocks with low porosity,
58 experience a significant (ca. 11%) increase in seismic P-wave velocity when compared with the same
59 rocks in negative temperatures (Draebing and Krautblatter, 2012). This is sufficient to identify the
60 presence of permafrost within a single rock unit (Hauck and Kneisel, 2009). Locally, where the velocity,
61 lithology and distribution of rocks do not vary significantly, such a change at the edge of permafrost
62 can certainly be detected by seismic methods, even at great depths. The P-wave velocity in high
63 porosity rocks will double after freezing (Draebing 2016; Carcione and Seriani 2001; Dou et al. 2014).
64 Recent scientific efforts have focused on studying permafrost in high mountains and polar regions
65 using a variety of geophysical methods, including gravity measured by satellite (Gido et al., 2019),
66 Electrical Resistivity Tomography (Minsley et al. 2012; Dobiński 2011), Surface Waves Analysis (Tsuji et
67 al. 2012; Rossi et al. 2017), Travel Time Tomography (Hilbich 2010), shear waves (Lecomte et al., 2014),
68 and Reflection Seismic Imaging (Strobbia et al. 2009; Johansen et al. 2003, 2011; Oye et al. 2013).
69 However, little information is available about the impact of active layer seasonal changes on seismic
70 wavefields. Most research has been conducted during the summer thawing conditions. Seismic
71 measurements have been performed on Svalbard in Adventdalen, including imaging of deep structure
72 to store CO₂ safely (CO₂ Lab; Baelum et al. 2012). These experiments showed that the high spatial
73 precision imaging is possible down to 1 km.

74 Periglacial studies have been conducted in the Hornsund area since the 1950s, resulting in a description
75 of the geomorphological characteristics of this area (Baranowski 1968), and the long-term
76 measurement of the near-surface ground temperature (up to 1 m) was initiated in 1978 at the
77 meteorological site of the Polish Polar Station (Wawrzyniak et al. 2016). The post-glacial evolution of
78 the Hornsund coast, which is also important for the development of permafrost in this area, was
79 described by Pękala and Repelewska-Pękalowa (1990). The thermal state of the ground and active
80 layer thickness in the Hornsund area have been discussed in several studies. Jahn (1982, 1988) and
81 Grześ (1984) analysed soil structures and permafrost-related geomorphological processes. Migala
82 (1991, 1994) and Dolnicki (2005) described the influence of snow cover on ground temperatures.
83 Miętus (1988), Miętus and Filipiak (2001), Leszkiewicz and Caputa (2004), and Dolnicki (2010, 2013)
84 described variability of the active layer thickness in the Hornsund area. Dobiński and Leszkiewicz (2010)
85 analysed permafrost occurrence by the first application of geophysical methods in the vicinity of
86 Hornsund and indicated the possibility that water can penetrate deeply into the ground and interact
87 with permafrost. The thermal regime of permafrost in Hornsund was studied by Wawrzyniak et al.
88 (2016). The permafrost state in the vicinity of the PPS Hornsund was modelled using the numerical
89 heat transfer model CryoGrid 2, which was calibrated with borehole data and validated with available
90 observations from the period 1990-2014. The simulated subsurface temperature indicated that
91 multiannual variability in that period can reach 25 m in depth.

92 Further, ERT studies in this area showed a relationship between soil structure and the permafrost table
93 (Kasprzak 2015), but also found an effect of seawater on the coastal permafrost, imaged as a
94 permafrost wedge in the near-surface onshore permafrost (Kasprzak et al. 2017), and described the
95 active layer in the coastal permafrost (Kasprzak 2020). Finally, thanks to a combination of ERT and
96 MASW techniques, the occurrence of permafrost was recognized in the Hornsund area with a
97 distinction between ice-free, ice-bearing, and cryotic permafrost (Glazer et al. 2020).

98 This study aims to analyse the applicability of seismic methods, surface wave dispersion, seismic
99 refraction and traveltime tomography, to obtain new high-resolution information of key permafrost
100 characteristics in deglaciated areas. Due to the prevalence of climate warming, the form and condition
101 of permafrost are changing that it requires additional validation in the studied area. High spatial
102 resolution in the imaging of the velocity field of the seismic method and direct monitoring of ground
103 temperature allow validation of the hypothesis that a wide extent of unfrozen ground exists proximal
104 to the coast, likely influenced by saltwater intrusion and mineralization of the groundwater (the cryotic
105 state; Dobiński 2020). Seismic studies can also provide information about the thickness of the active
106 layer and be compared with the distance to the shoreline, elevation, and the presence of streamwater.
107 Another interesting interface is the boundary between the sedimentary material and the solid rock
108 beneath it. In these two media the seismic wave velocities can be different, and, in addition, can
109 change seasonally depending on the depth at which the ground freezes. Our study, based on two
110 surveys in the spring and late summer, estimates the minimum thaw depth and active layer thickness,
111 the depth of surface temperature influences on the permafrost, and the extent of permafrost in the
112 coastal catchment Fublebeckken. We show links between the permafrost characteristics (frozen and
113 ice bearing zones) and the distance to the coast, slope and geology. We rely on a time-lapse seismic
114 image, results of previous ERT survey (Glazer et al. 2020), and in-situ borehole data to conduct this
115 study.

116 2. Methods – two Arctic surveys

117 2.1 Fuglebekken – Geological setting

118 The study site, the Fuglebekken coastal catchment, is located on the northern shore of Hornsundfjord,
119 in the vicinity of the Polish Polar Station Hornsund (SW Spitsbergen, see Fig. 1). The region is underlain
120 by Precambrian basement rocks, which are part of the Lower and Middle Hecla Hoek succession. The
121 crystalline basement of metamorphic quartzites, schists, paragneisses, marbles, and amphibolites is
122 covered by Cambrian and Ordovician sedimentary successions containing a mixture of sand and gravel

123 with clay (Czerny et al. 1993). The Fuglebekken catchment (1.27 km²) is heterogeneous in terms of land
124 cover and topography and occupies the southern slopes of the Arie-kammen-Fugleberget mountain
125 ridge and the elevated marine terraces of the Fuglebergsletta coastal plain. The slopes are covered
126 with washed rubble sediments, solifluction tongues, rock streams, alluvial cones, and bare solid rock.
127 The bottom of the slope is covered with sea gravel, sediments, and diverse types of tundra vegetation.
128 The hydrographic cover includes several tributaries of Fuglebekken Stream, which drains into
129 Isbjørnhamna Bay (Osuch et al. 2019; Wawrzyniak et al. 2021). Glazer et al. (2020) and Humlum et al.
130 (2003) provide a detailed history of the permafrost in the area during the Holocene, from the mountain
131 region through the system of elevated marine terraces, due to marine incursions.

132 2.2 Thermal monitoring

133 To verify the results obtained using seismic methods, three shallow boreholes were drilled in April
134 2018, down to 20 m (Borehole 1), 5 m (Borehole 2), and 10 m (Borehole 3) as presented in Figure 1 and
135 Figure 2. Boreholes were located at previous monitoring sites, but our seismic data was acquired along
136 with the previous ERT survey line. As a result, only Borehole 1 lies on our seismic line, whereas
137 Borehole 2 is 100 m from the seismic line, and Borehole 3 is 250 m from the seismic line. Those out-
138 of-line measurements cannot be directly compared with seismic data. The studied structures are
139 complex and a variety of factors, such as geomorphology, sedimentary structure, water content and
140 snow cover, contribute to the permafrost variability. They are presented to show thermal state and its
141 temporal dynamics in this small area. Due to environmental restrictions, the operation of heavy
142 equipment is allowed only on thick snow cover, therefore boreholes were drilled during the second
143 survey. Automatic sensors (Geoprecision M-Log5W) were installed at irregular intervals from 0.2 to 20
144 m (maximum 18 sensors in a single borehole) to measure ground temperatures in the active layer and
145 permafrost. In addition to thermal measurements, piezometers were installed to measure the depth
146 of groundwater level as far as 5 m below the surface. Hourly measurements were recorded for

147 different periods from installation: Borehole 3 (12 days), Borehole 2 (7 months), Borehole 1 (17 months
148 and still active).

149 The thermal data were interpolated and are presented in Fig. 2. The longest series (17 months)
150 collected in Borehole 1 shows seasonally repeated heat transfer down to 4 m. Extreme temperatures
151 were recorded by the shallowest sensor (at a depth of 20 cm), reaching +16 °C on 28 July and -19 °C
152 on 21 January 2019. Surprisingly, 0 °C was observed at a depth of 19 m. The behaviour of Boreholes 1
153 and 2 was found to be closer to expectation, with heat waves reaching 2 m and continuous sub-zero
154 temperatures below that depth, similar to the results described by Kasprzak et al. (2017). Fig. 2 also
155 shows air temperatures measured at the Polish Polar Station Hornsund (Vaisala QMT107) with the
156 dates of both surveys marked (red and blue lines). As borehole measurements were not available
157 during the first survey, and the cycle repeats annually, the temperatures from September of the
158 subsequent year were used in further analysis.

159 The fact that Borehole 3 was made at the end of winter is particularly noteworthy as the temperatures
160 recorded are relatively high, ranging from about -3 °C at the surface to about -0.5 °C at a depth of 10
161 m. Still, Borehole 3 recording was operational for only 12 days and located too far from the line to
162 allow reliable calibration of seismic data. It should be added that during the drilling, the ground, except
163 for a thin layer at the surface, was not frozen. The most surprising temperature profile of the ground
164 was found at Borehole 1. The 16-month sequence of temperatures revealed that seasonal freezing
165 reaches a depth of 8 m, but the summer thaw is much deeper. In July and August 2018, in particular,
166 a sharp increase in temperature was visible, reaching 12–18 m. This is interpreted as an evidence of a
167 strong underground flow that percolates deep into the ground, resulting in the occurrence of an
168 extremely deep active layer at this site, ranging from about 18 m in 2018 to almost 20 m in 2019. This
169 is one of the few places in the world where the active layer reaches such a thickness. In addition to
170 that detected in Hornsund, a similar depth has also been recorded in the Rocky Mountains and
171 Labrador (Dobiński 2020).

172 2.3 Two active seismic surveys

173 For the first time in SW Spitsbergen, a multi-method approach was applied to reveal the spatial
174 distribution of frozen ground and the active layer. Most permafrost studies in the High Arctic are
175 conducted in the summer season because of the availability of daylight and easier logistics. Two
176 fieldwork campaigns were conducted at different seasons: (a) during ground at maximal thaw (21-28
177 September 2017, Unfrozen survey) and (b) during maximum snow cover with minimal thaw (15 April
178 – 10 May 2018, Frozen survey). The seismic data were collected twice on the same profile located
179 between the seashore and the mountain slope (see Fig. 1). Sixty standalone seismic stations (DATA-
180 CUBE, Omnirecs) with 1C 4.5 Hz geophones in walking spread deployments were used to obtain a high-
181 resolution near-surface seismic dataset. The survey was designed to provide the longest offsets for
182 observations without sacrificing resolution (see Fig. 3 for details). For the first survey, both receivers
183 and sources were deployed every 2 m (each source was stacked 3 times), in the second survey the
184 receivers were positioned every 5 m and sources every 2.5 m (each source was stacked 6 times,
185 because of longer offsets). Attenuation of the seismic waves in frozen ground is significantly lower;
186 thus it is possible to observe refraction at a much larger offset. Changing receiver spread to 5 m gave
187 a maximum of 350 m offsets. Additionally, 20 m of overlapping shot locations were established. The
188 PEG-40 accelerated weight-drop with 40 kg of usable mass was used as a seismic source. The seismic
189 signal was generated by striking a steel plate. An additional strike was made before the actual
190 acquisition of data for each shot point to compact the ground and snow cover. The seismic source was
191 mounted on an in-house built cart, and a sledgehammer (8 kg) was used as the source in places that
192 were difficult to access during the first survey.

193 In the second survey, during maximum snow cover, the seismic source was mounted on a sledge. In
194 this open terrain, the snow cover was in a range from 0 to 0.4 m. Both devices were equipped with
195 power generators and a GPS-based timing system designed in-house (timing dispersion of less than 2
196 ms) to determine the excitation time. Precise source timing enabled correct vertical stacking to be

197 achieved, resulting in a high signal-to-noise ratio. Measurements at the level of the snow caused a
198 large mismatch of the time of the first strike due to snow compaction, thus, all the first strikes recorded
199 had to be discarded from the vertical stacking. The elevation data was gathered from the DTM model
200 based on laser scanning (Riegl system) and GPS measurements.

201 2.4 Recorded seismic wavefields

202 Seismic records from separate deployments (see Fig. 3) were merged into a single dataset, after
203 manual quality control, vertical stacking, and adding geometry information. An example of a seismic
204 wavefield recorded from the same shot location during both surveys is presented in Fig. 4. There are
205 clear arrivals at all measured offsets that were longer in the frozen ground during the 2018 survey due
206 to a change in the acquisition method (larger receiver spacing, resulting in an elongation of the profile
207 using the same number of sensors). Both P-wave and S-wave refractions are visible at large offsets in
208 both surveys. In near-surface applications, near-field observations (offsets shorter than 20 m) are
209 difficult to perform for P-waves, and not possible for S-waves. Also, clear wide-angle reflections are
210 visible at larger offsets. In the unfrozen conditions (in 2017) surface waves were the strongest signals
211 in the wavefield, while in the frozen survey in 2018 they were observed only for several shots at rocky
212 outcrops without snow cover. In these conditions even thin snow cover suppresses surface waves.
213 However, high-amplitude, non-dispersive S-wave refractions were observed. Shot gathers of long
214 offset refractions (Fig. 4) show P-wave velocities (V_p) of 3500 m/s in unfrozen and 5200 m/s in frozen
215 conditions, both with uncertainty ± 100 m/s and of 1300 m/s and 2300 m/s, respectively, for S-wave
216 velocities (V_s), with uncertainty ± 150 m/s. Only apparent velocities are measured, not including layer
217 thickness and dipping layers from a single gather. Even so, the seasonal difference is very large, almost
218 doubled, confirming the laboratory observations made by Draebing (2016).

219 2.5 Near-surface techniques – MASW and seismic refraction

220 Multichannel analysis of surface waves (MASW, Park et al. 1999) is a well-known technique that is
221 sensitive to changes in shear velocity (V_s) in the shallow subsurface. This technique is also known to
222 be difficult to apply to complex structures due to its low precision. As surface waves were occasionally
223 recorded in the frozen ground survey, only results from the unfrozen survey are discussed. Globe
224 Claritas and Geopsy software were used to calculate the classic inversion of the surface waves
225 dispersion curve for 30-channel data blocks resulting in eleven 1D V_s profiles. To limit the near-field
226 effects, short offset channels (<20 m) were not used in the analysis. Also, all refraction and reflection
227 signals were muted or filtered out of the data using a 2-50 Hz bandpass filter to increase the visibility
228 of surface waves and thus enhance the dispersion curve. The results (Fig. 5, top) present a consistent
229 strong V_s contrast between the layers, the top layer with an average velocity of 300 m/s and the lower
230 with an average velocity of 1300 m/s, visible at a depth varying from 3 to 16 m with average uncertainty
231 of ± 1 m. The velocity uncertainty is estimated as ± 100 m/s in the top layer and is much larger (± 500
232 m/s) in the bottom layer. This strong contrast is the boundary between fluvial sediments and
233 compacted bedrock. The 1D results also show a much weaker, but consistent, change in V_s from 200
234 to 300 m/s at 0.5–4 m depth. This corresponds to the underground water table that was determined
235 by the piezometers in the borehole 1. Moreover, stream water at the surface was also observed in this
236 area at a distance of 330–430 m of the analysed profile, where this change is not visible in V_s . Figure 5
237 shows the interpolation of 1D results into a pseudo-2D V_s model.

238 The second near-surface technique employed was the analysis of short-offset P-wave refraction
239 arrivals (details in Schrott and Hoffmann 2008). Because of the complex structure along the profile,
240 including rocky outcrops, a stream, and varying levels of the water table, an initial model for inversion
241 was created using 1D analysis at eight regular intervals, separately (Fig. 6 top). Observations from the
242 unfrozen survey clearly show a rapid change in V_p velocity at about 10 m, confirming the depth to
243 bedrock described using MASW with higher precision (Fig. 6). The 2D models, for both seasons, were
244 calculated using all the refraction observations for offsets smaller than 40 m, generating the detailed
245 shape of the bedrock (Fig. 7a,b). The independent results from the two seasons described an almost

246 identical shape for the bedrock, confirming it is a lithological, not a thermal, feature. The V_p in the
247 sediments changed significantly between the seasons, with an average of 1500 m/s in the unfrozen
248 survey reaching over 5000 m/s in the frozen survey. Moreover, in the unfrozen state, all V_p along the
249 profile were similar, while the frozen survey found significant differences depending on the distance
250 from the coast. Close to the coast (up to 120 m away), the medium remained partially unfrozen, with
251 V_p 3500 ± 100 m/s, while further away it was fully frozen ($V_p > 4500 \pm 100$ m/s).

252 More importantly, a change in V_p is also visible in the bedrock; in the unfrozen survey, bedrock V_p
253 ranged from 3300 to 5500, while in the frozen survey all V_p were higher than 5000 m/s. Both near-
254 surface techniques using P and S-waves provided independent information about the thickness and
255 internal velocity structure in the sedimentary layer and just below the boundary. However, both
256 methods are useful only at shallow depths.

257 2.6 Ray-based refraction tomography

258 To reach lower depths and utilize all refraction observations, it is necessary to use refraction traveltimes
259 tomography. Ray-based JIVE3D code (Hobro et al. 2003) was used to identify velocity distribution in
260 this study. The seismic refraction results were used as an initial model for tomographic inversion.
261 Inversion results for both seasons are presented in Fig. 7c,d with uncertainty analysis in Fig. 7e,f.

262 The greyed-out areas are just the results of inversion smoothing and are not confirmed by seismic ray
263 coverage. Both results show dense crystalline material with large V_p at distances of 200 m
264 (corresponding to the rocky outcrop at the surface) for the basement and loosely compacted rock in
265 the centre of the profile (330–430 m). The uncertainty of the tomographic velocities was estimated
266 using the statistical analysis of 121 randomly generated starting models (as in Owoc et al. 2018). The
267 uncertainty associated with the frozen survey is very good (ca. 50 m/s) down to 40 m, due to the large
268 data set (2.5 m spacing), and for unfrozen survey the uncertainty is acceptable (<400 m/s) down to 30

269 m. It would be possible to perform a similar analysis of S-waves, but due to the lack of short offset
270 observations, such results would be highly uncertain.

271 3. Results and discussion

272 The obtained methodical results provide new information regarding recognition of permafrost
273 variability, facilitating better planning and future adaptation of the measurement methodology. In
274 addition, the results of the research deliver new information regarding the occurrence of permafrost
275 on the Spitsbergen coast.

276 3.1 Optimal seismic refraction survey

277 Fieldwork in the polar regions is always challenging. In the case of studies of the active layer, the period
278 from August to September seems optimal, as evidenced by the borehole thermal data. During these
279 months, the thawing of the active layer is almost maximal, and seismic studies based on surface waves
280 and refractions are feasible. The use of lightweight seismic sources makes it possible to generate
281 seismic waves that can easily be observed at 300 m offsets, resulting in the recognition of structures
282 down to 40 m. Moreover, a 2 m spacing of sources and receivers, and a dominant refraction frequency
283 in the range of 30–100 Hz, provides a precise characterisation of the active layer. However,
284 investigations of the deeper structure are significantly simpler to conduct during the winter, when
285 stronger seismic sources can be used on snow. Due to environmental restrictions heavy duty
286 equipment cannot be used in open tundra areas. Moreover, the presence of a frozen active layer is
287 optimal for deep seismic studies, where waves are not attenuated in near-surface layers. However, as
288 shown in this case study, surface waves were only occasionally observed in the wavefield from the
289 frozen period, making the use of the MASW method impossible.

290 Due to environmental restrictions, only non-invasive seismic sources are allowed, which automatically
291 limits the possibility of using strong sources. For this reason, a good methodology for gathering and

292 processing data from relatively weak sources is necessary. As shown, the uncertainty-driven approach
293 (see also Marciniak et al. 2019), which combines different seismic waves with independent
294 information, seems to be an effective way to obtain results from such demanding datasets.

295 3.2 Characteristics of permafrost and its relation to ground temperature

296 The results obtained for the near-surface structures were integrated and are presented in Fig. 8 with
297 the estimated thermal state of the ground, obtained from nearby boreholes. The most notable feature
298 is the sharp contrast in velocities, for both V_p (1500 to 4000 m/s) and V_s (300 to 1300 m/s), located,
299 on average, 10 m below the surface. This interface has been interpreted as a lithological boundary
300 between water-filled unconsolidated and consolidated rocks. The seasonal change of seismic velocities
301 is significant between seasons. On average, the V_p in the sediments was 1500 ± 50 m/s in the unfrozen
302 state and 4500 ± 100 m/s in the frozen state. Such a spread suggests (after Draebing 2016) the presence
303 of high porosity and water-saturated fractured metamorphic rocks or a mixture of sand and clay.

304 As predicted by Gregersen et al. (1983) and Christiansen et al. (2010), a strong effect of seawater on
305 the freezing of sediments is visible for the frozen survey. At a distance of 150 m from the coast, a rapid
306 change of V_p was observed (Fig. 7d). The V_p in the section from the coast to around 150 m is
307 significantly lower than in the further part of the profile. Such results indicate that the sediments did
308 not freeze entirely. Based on air temperature measured in nearby Polish Polar Station and Borehole 3
309 that was 250 m out-of-the-line we could suspect that the temperature of the ground was lower than
310 0°C . Although the distance from the coast line and the elevation for Borehole 3 are similar to those of
311 the relevant part of the seismic line, a direct comparison of those states is not allowed. A variety of
312 factors, including geomorphology, snow cover and water content, affect a local thermal state. To
313 validate the thermal state of the ground it is necessary to have borehole measurement exactly on the
314 seismic line. Probably this area did not freeze due to the relatively high salinity level of the seawater
315 penetrating the ground. A comparison of V_p in the first 150 m of the profile (Figure 7c,d) shows a
316 change between seasons (V_p 1500 to 2000 m/s with the uncertainty of 50 m/s) that has been

317 interpreted as a change in the amount of ice in the sediments. The active layer, in which temperature
318 is seasonally positive, lying on top of the cryotic permafrost but its thickness can only be established
319 by direct temperature measurement. Further, between 150 and 300 m from the coast, a strong velocity
320 gradient (2000-4500 m/s) was observed in the sediments as a result of the lower salinity of the water
321 that penetrates the ground and a gradual increase in the ice content of the medium. Salt in the ground
322 lowers the freezing point. Therefore, the ground may be below zero Centigrades temperature but not
323 frozen due to salt content. Ice-free subsea permafrost was also reported by other authors (Millero et
324 al., 2008). At distances greater than 300 m from the coast the sediments were fully frozen. There was
325 no influence of seawater and the ground was frozen at 0 °C. In such conditions, seismic methods can
326 easily distinguish the boundary between the active layer and permafrost. The characteristic velocity
327 (V_p) of unfrozen, water-filled sediments is 1500 m/s. In frozen conditions, it is 4500 m/s for sediments,
328 and 6500 m/s for a frozen solid rock. A small-scale talik, which was identified at 10 m during the drilling
329 of Borehole 1, represented an exception since it did not freeze in either season. This talik formed as a
330 result of the underground runoff generated by the steep mountain slope and the stream that exists in
331 the area in the summer, so it is classified as a hydrothermal, noncryotic talik. Surprisingly, the bedrock
332 at depths greater than 10 m also demonstrated significant variations in velocity, proving that the
333 medium may undergo seasonal freezing and thawing at such a depth. The V_p of the bedrock changed
334 from 3500 ± 50 m/s to 6500 ± 400 m/s between seasons, suggesting they comprise mica schists or
335 plutonic rocks, such as granite (Draebing 2016). Moreover, significant changes in velocity, larger than
336 the estimated uncertainty, occurred at a depth of 30 m. This result is similar to observations in
337 Adventdalen where ground freezing in the late summer was recognized at a depth of 50 m (Albaric et
338 al. 2021). Lower velocities are, most probably, the effect of the deep groundwater circulation also
339 visible in Borehole 1 (Fig. 2). Due to the uncertainty associated with the tomographic technique,
340 changes deeper than 30 m cannot be confirmed, and the depth at which the medium was not affected
341 by seasonal freezing changes remains unknown. Similarly, a change in V_s from 1300 m/s to 2300 m/s

342 was observed below the interface. The Vs in the frozen active layer cannot be confirmed, because of
343 the lack of surface waves in the observed wavefield.

344 Figure 8 also presents the ERT result with the MASW-based interphase (modified after Glazer et al.
345 2020). Due to the deployment used and the high resistivity contrasts of the near-surface, structures in
346 the resistivity model of the study area (Fig. 8) do not reflect exact lithological boundaries. The profile
347 was designed to observe changes in the horizontal characteristics of the permafrost within the
348 Fuglebekken. Supporting information from the results provided by MASW allowed a qualitative
349 interpretation of subsurface resistive structures in terms of ice content. Interpretation of geological
350 information below the high-resistivity ice-bearing permafrost structure is very limited, due to current
351 penetration being insufficient over long distances. Based on data from ERT, permafrost with the frozen
352 medium was found to be absent from up to approx. 250 m of the profile (Fig 8) and the extent of the
353 saltwater intrusion within the Fuglebekken catchment area was suggested to cover up to 450 m of the
354 profile (Glazer et al. 2020).

355 Thermal monitoring was active for different periods for each borehole. The thermal profiles marked in
356 Fig. 8 show the ground temperature during the frozen survey (blue lines). The ground temperature in
357 the unfrozen season, measured in the consecutive year, also reflects similar conditions taking into
358 account the air temperatures measured in this area (see Fig. 2 top). Correlation of the seismic results
359 with the thermal conditions was not possible for out-of-the-line boreholes. The shallow central
360 borehole (2), with a depth of 5 m, retained a constant temperature below 2 m in both seasons. The
361 surface stream observed in the unfrozen season carries large amounts of warm water which heats the
362 ground. The identified subsurface flow is preventing freezing, by constantly replacing groundwater by
363 the movement and transfer of heat.

364 The thermal measurements in the last borehole (1), at the bottom of the mountain slope, were
365 unusual. A negative temperature was observed down to 8 m in the frozen season, while in the unfrozen
366 season the ground had a positive temperature (max 2 °C at 4 m) down to a depth of 19 m. Such a

367 situation is not typical for the thermal state of the active layer but is the effect of an underground flow,
368 which was unexpectedly observed at 10 m during drilling in the frozen season (May). This strong flow
369 on the mountain slope heats the ground significantly during the unfrozen season. Unfortunately, the
370 deep talik identified by measurements from the borehole is below the resolution of the seismic image,
371 as this location is at the edge of the seismic profile.

372 4. Conclusions

373 The presented results have demonstrated that the application of multiple seismic methods (MASW,
374 seismic refraction and travel time tomography) together with borehole data provide new high-
375 resolution supporting observations and insight into the permafrost state. The borehole data shows the
376 local ground thermal regime, high spatial variability and allowed us to distinguish areas with ground
377 temperatures below and above 0 °C. Seismic methods used in time-lapse mode recognize frozen and
378 unfrozen ground, and changes in water content. Comparing the observations from two seasons we see
379 a significant impact of seasonal changes in the active layer on the seismic wavefield. An analysis of the
380 short offset refractions clearly shows that the thickness of sediments and the top of bedrock are not
381 changing in time. The travel time tomography detects the seasonal variability of seismic velocities due
382 to partial or complete freezing of water in the medium. MASW cannot be used in time-lapse mode as
383 the surface waves are only occasionally observed in area covered by snow. There are large differences
384 between outcomes of the in-situ borehole data and the seismic analyses due to salinity or
385 mineralization of water. Salt in the ground lowers the freezing point. Therefore, the ground at a
386 temperature below zero Centigrades may be not frozen due to the salt content. The ice-free subsea
387 permafrost was also reported by other authors (Millero et al., 2008).

388 Determining the boundary characteristics of the permafrost environment in the area under study is
389 difficult via seismic methods used in this study for the following reasons:

- 390 ● The boundary between the active layer and the permafrost beneath it overlaps the boundary
391 between sedimentary and bedrock material.
- 392 ● Marine and glacial sediments fill the spaces between the paleoskerries in the studied area very
393 unevenly, causing the active layer to end in both sedimentary material and solid rock. This is
394 indicated by the seismic velocities along with their seasonal variation in both the sedimentary
395 material and the solid rock.
- 396 ● in the area characterised by cryotic permafrost, it is only possible to distinguish between the
397 active layer and the permafrost by directly measuring the temperature in the ground

398

399 However, time-lapse geophysical observations show that the characteristics of the cryotic permafrost
400 are changing in time, which is interpreted as being due to changing amount of ice in the ground. In the
401 Hornsund region, we are dealing with a complex form of permafrost, which is produced by a diverse
402 set of factors. This environment is a good analogue to other coastal permafrost environments, which
403 also likely exhibit such complexities, but Hornsund region, as the entire region of southern Svalbard,
404 experience the fastest climate change in the Arctic. For this reason, the Hornsund region can serve as
405 a specific example of permafrost degradation and act as a kind of field laboratory where permafrost
406 degradation is clearly visible. As shown, the water-permafrost interactions has a significant effect that
407 is expected to grow in the future, further increasing the slope processes and resulting in larger
408 geohazard.

409 Permafrost occurring on the sea coast of Hornsund is subject to rapid degradation. We interpret this
410 degradation to be caused by the following factors: the rapid increase in air temperature, intrusion of
411 seawater into the shore (which is no longer subject to freezing but remains in a cryotic state), and the
412 deep penetration of freshwater into the fractured bedrock.

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571

572 Figure Captions:

573 Fig. 1 Digital terrain map of the study area in the Fuglebekken catchment nearby the Polish Polar
574 Station in Hornsund (PSPH, red box). The locations of the two seismic surveys are marked: Unfrozen
575 survey in October 2017 (red) and Frozen survey in April 2018 (blue). Both lines match the previous ERT
576 survey. Numbers 1-3 mark the position of shallow boreholes with thermal and piezometer
577 measurements. Borehole 2 lies 50 m and 3 lies 250 m out-of-the-line in the position of previous
578 monitoring sites. Insets show the position of Svalbard (left) and Hornsund (right).

579 Fig. 2 Daily mean air temperature at the meteorological station in the PSPH (top) with marked time
580 (dashed lines) of both surveys: in Frozen condition (blue) and Unfrozen conditions (red). Continuous
581 ground temperature measurements were recorded in three boreholes (see inset for a location with
582 marked closest points on the line). Clear seasonal variation was observed. The time of both seismic
583 surveys (red and blue dashed lines) is marked. The next unfrozen line marks the time in the consecutive
584 year showing similar thermal conditions in which seismic Frozen survey was acquired.

585 Fig. 3 Schematic geometry of both seismic fieldwork arrangements. Elevation along the profile (top)
586 with the position of boreholes marked, acquisition geometry in two seasons: Unfrozen (middle) in
587 2017, Frozen (bottom) in 2018. The survey in 2018 used 5-m receiver spacing, resulting in much larger
588 offsets (up to 350 m).

589 Fig. 4 Example of seismic wavefield recorded at the same shot location (shot position 142 m) in the
590 two seasons: unfrozen (top) and frozen (bottom). Clear P and S wave refractions and wide-angle
591 reflections are visible. No surface waves were recorded in frozen conditions. The table presents
592 refraction velocities measured at large offsets showing a significant change in apparent velocities.

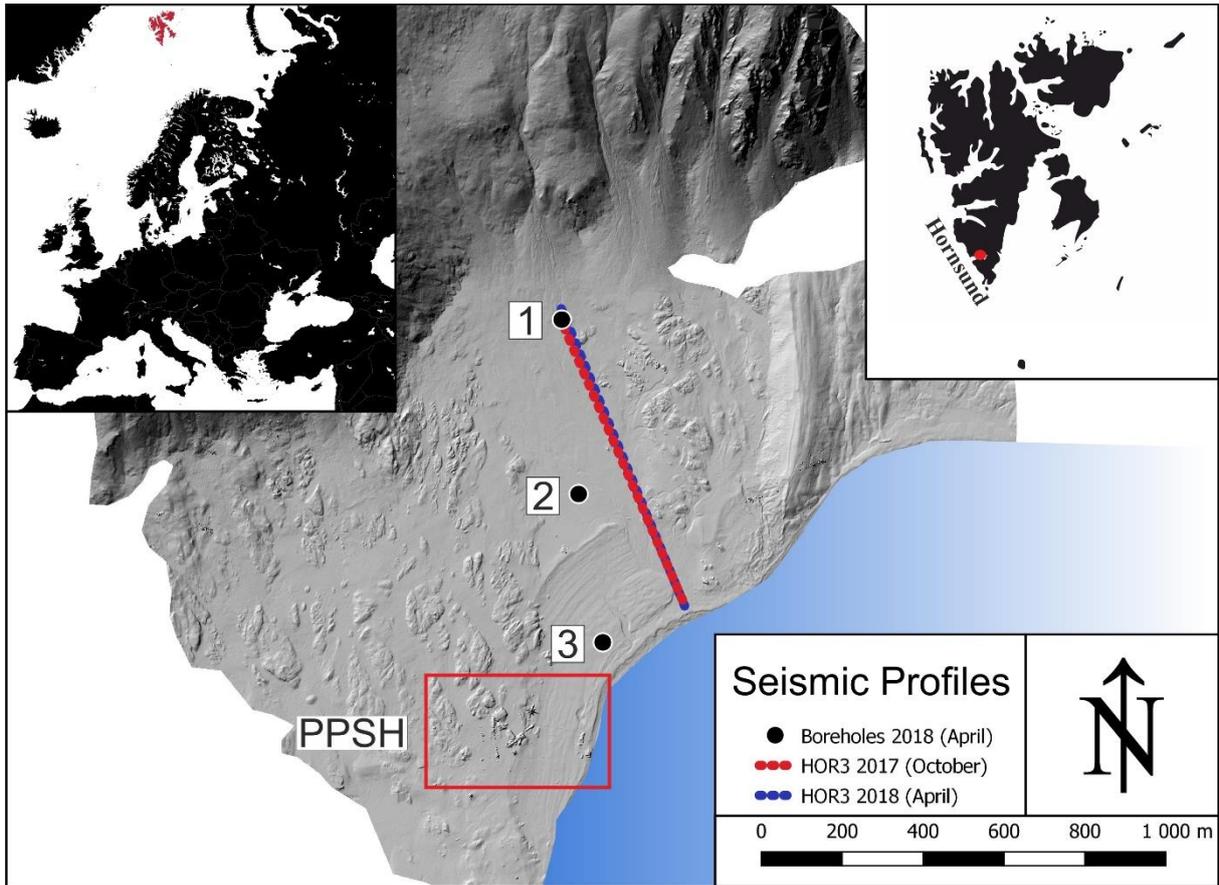
593 Fig. 5 Results of surface waves dispersion analysis (MASW) for unfrozen survey data: 1D models for
594 areas are marked with black triangles (top); 2D interpolation (bottom). Main S-wave velocity change
595 (300 to 1300 m/s) is identified as the sediments-bedrock boundary, shallow smaller change (200 to
596 300 m/s) corresponds to the interpreted groundwater table. Vertical lines mark MASW depth
597 uncertainty. No surface waves were observed in the frozen survey.

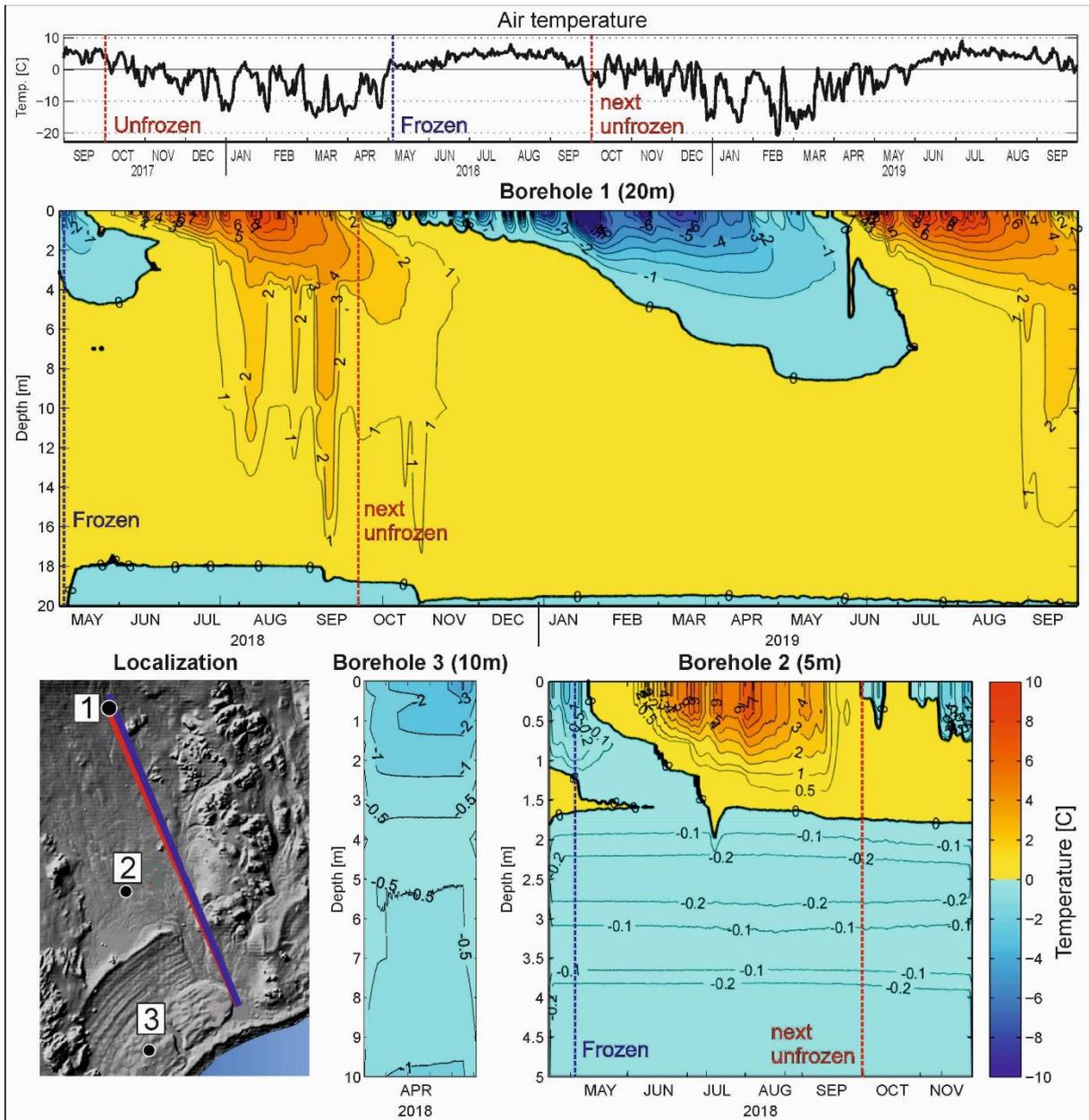
598 Fig. 6 Shallow P wave velocity distribution from the unfrozen survey, based on 1D fit at regular
599 intervals, marked with triangles (top), and 2D short offset seismic refraction (bottom). The velocity
600 jump at about 10 m depth (1500 to 3500 m/s) corresponds to bedrock depth.

601 Fig. 7 Seismic refraction (a, b) and ray-based tomography results (c, d) for both seasons: unfrozen 2017
602 (left) and frozen 2018 (right). The uncertainty of the tomography (e, f) shows the significance of the
603 results down to 30 m. Higher velocities are visible at a depth of 30 m in the frozen survey.

604 Fig. 8 Schematic interpretation of seismic velocity and thermal structures. The grey line marks the
605 lithological boundary between fluvial sediments and bedrock. The numbers show the velocities of P
606 and S waves observed in the unfrozen (red) and frozen (blue) surveys. The previous ERT result (top,
607 after Glazer et al. 2020). Insets show thermal profiles of the three boreholes in the vicinity. Black
608 dashed lines mark the range of the influence of seawater on freezing in sediments. A thick dashed line
609 marks the deep fault recognized in the area.

610

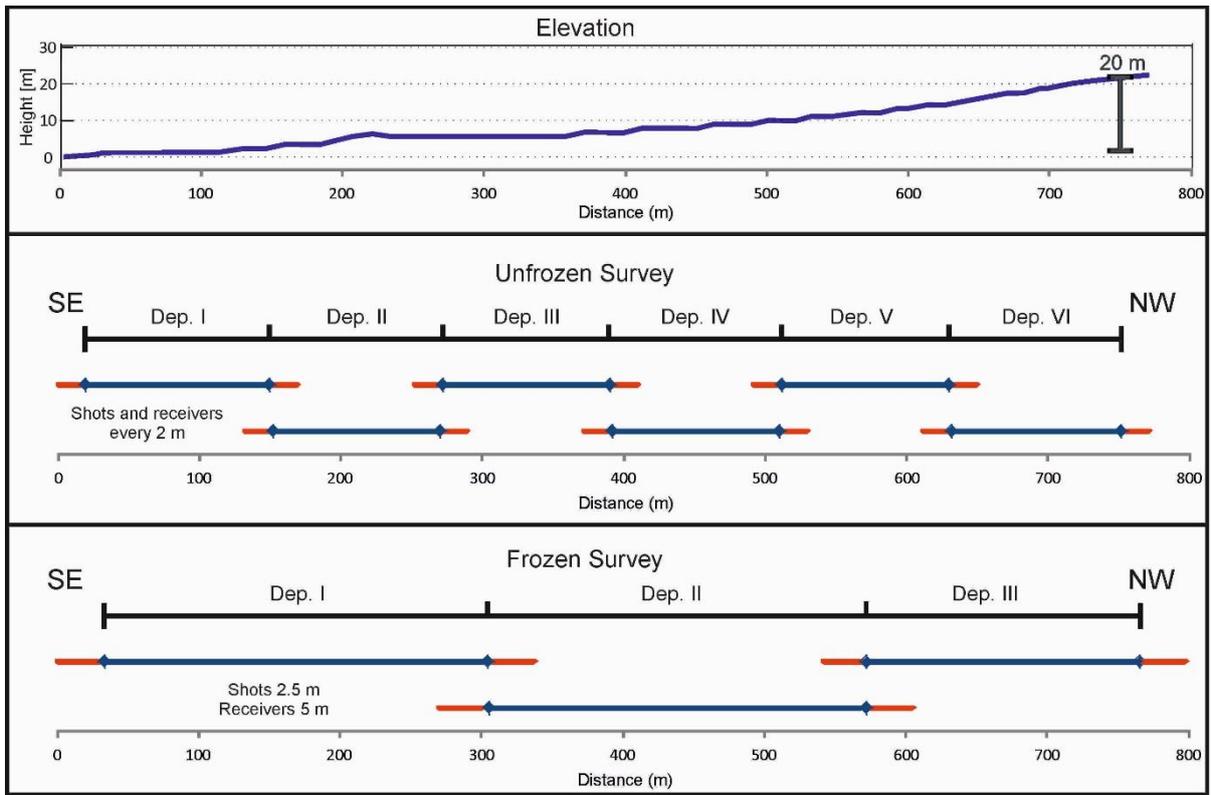




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615 Fig. 2

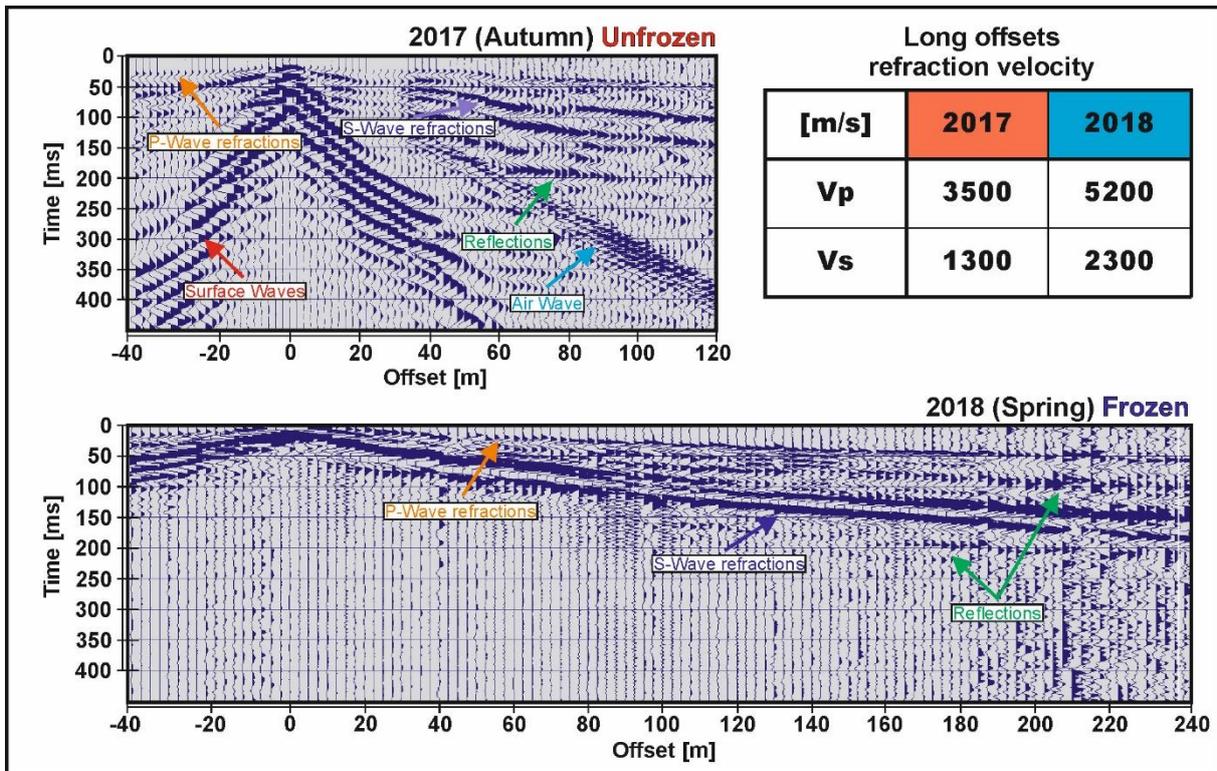
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618 Fig. 3

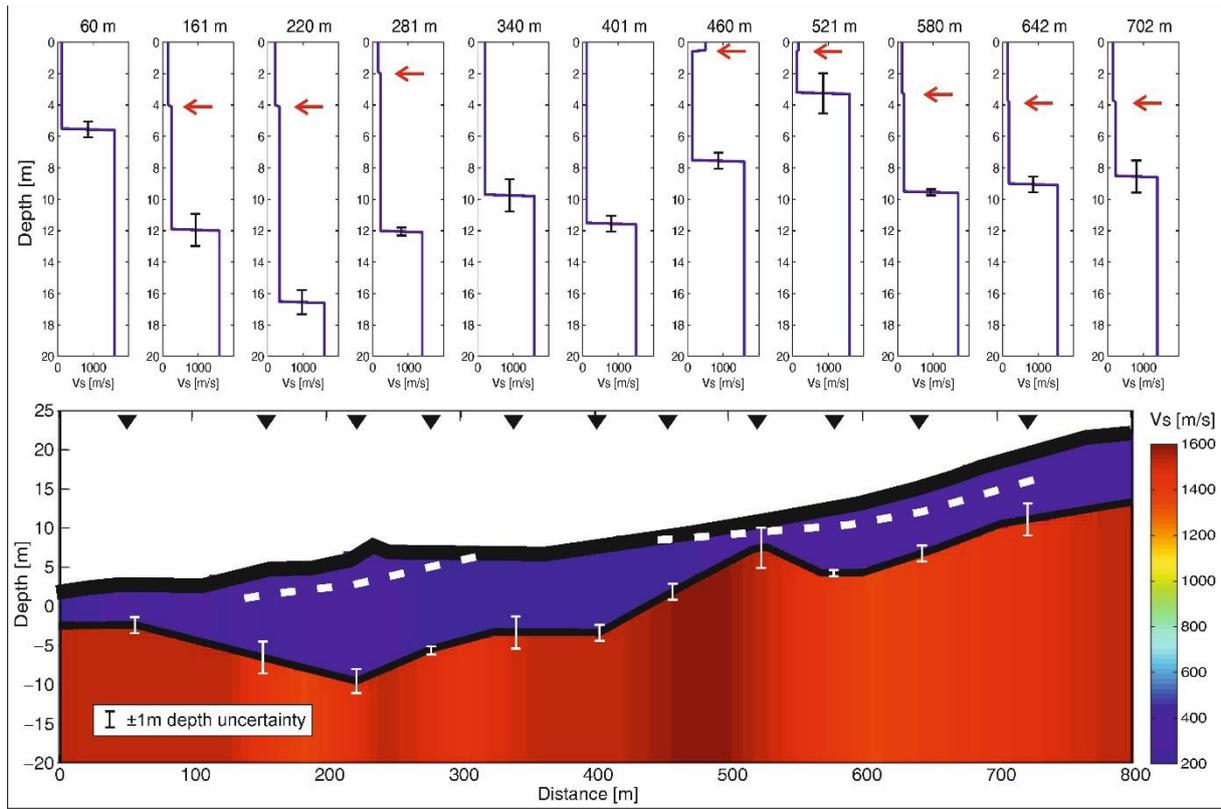
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621 Fig. 4

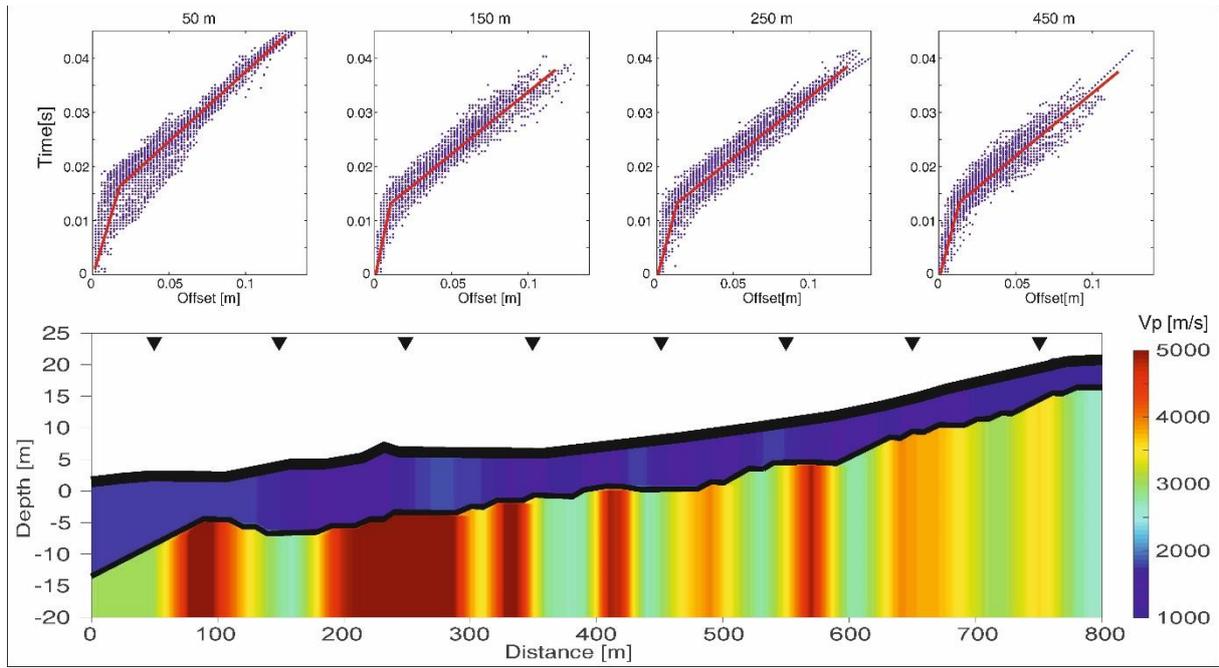
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624 Fig. 5

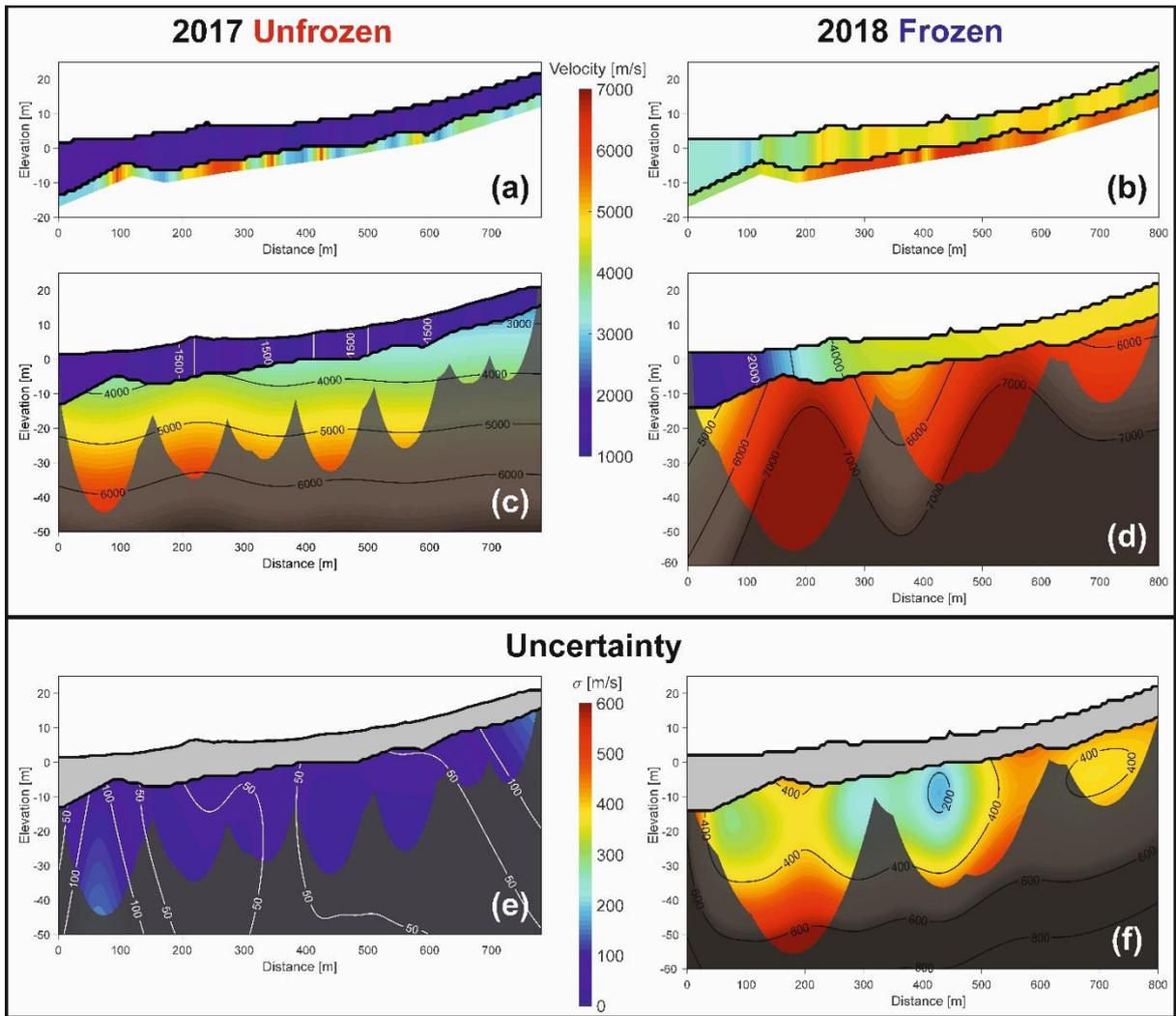
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627 Fig. 6

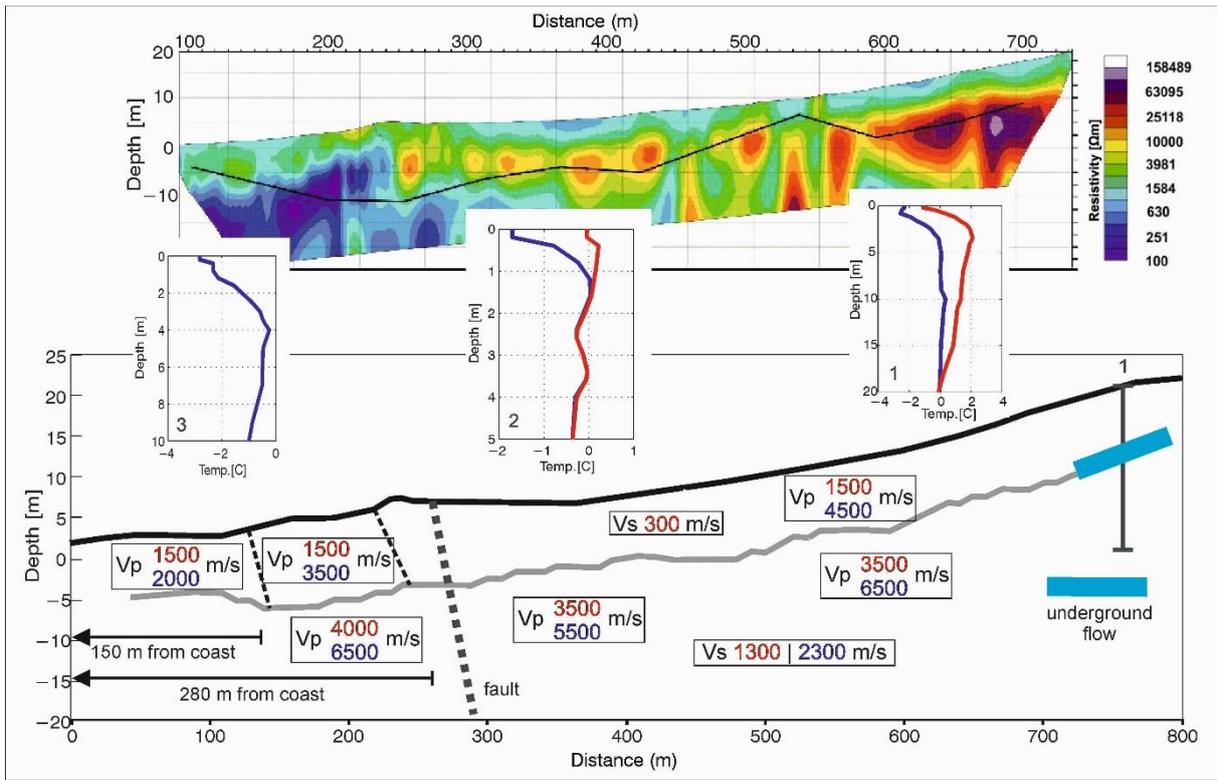
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630 Fig. 7

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633 Fig. 8

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